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PTO/SB/17 (12-04v2)

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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818)

# FEE TRANSMITTAL

## For FY 2005

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

### Complete if Known

Application Number	10/645,078
Filing Date	AUGUST 21, 2003
First Named Inventor	RICHARD H. SELINFREUND
Examiner Name	CHRISTIAN A. LaFORGIA
Art Unit	2131
Attorney Docket No.	VTI-7013.1(CIP)

### METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):

☒ Deposit Account Deposit Account Number: 11-0404 Deposit Account Name: Kelley Drye & Warren LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

#### 2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
<b>Total Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
- 20 or HP =	x	=
HP = highest number of total claims paid for, if greater than 20.		
<b>Indep. Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
- 3 or HP =	x	=
HP = highest number of independent claims paid for, if greater than 3.		

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)

- 100 = / 50 = (round up to a whole number) x =

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Information Disclosure Statement

Fees Paid (\$)

\$180.00

#### SUBMITTED BY

Signature	<i>Hans Peter G. Hoffmann</i>	Registration No. (Attorney/Agent)	37,352	Telephone	203-351-8011
Name (Print/Type)	HANS-PETER G. HOFFMANN			Date	OCTOBER 17, 2005


This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Date: October 10, 2005

  
Name: Hans-Peter G. Hoffmann



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Richard H. Selinfreund, et al.  
Application S/N : 10/645,078 Examiner: Christian A. LaForgia  
Filing Date : August 21, 2003 Group Art Unit: 2131  
Title : STORAGE MEDIA ACCESS CONTROL METHOD  
AND SYSTEM

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b). **The references listed on Form PTO/SB/08A and PTO/SB/08B were previously cited by or submitted to the office in prior U.S. Patent Application Serial No. 09/631,585, filed August 3, 2000, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications). In accordance with the requirements, and since the references have been disclosed in the priority application, Applicants are not enclosing copies of the references herewith.**

( ) In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, no additional fee is required. The

Commissioner is authorized to charge any fees required to Deposit Account No. 11-0404.

10/14/2005 YPOLITE1 00000012 110404 10645078

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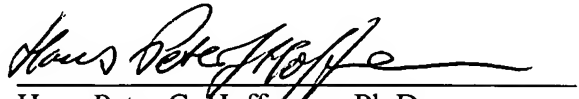
- ( X ) In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, therefore:
- ( ) Certification in accordance with §1.97(e) is set forth below.
- ( X ) The Fee of \$180.00 as set forth in §1.17(p) is attached.
- ( ) In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.31 1 but before the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); Petition Requesting Consideration of the Information Disclosure Statement; and the fee of \$ \_\_\_\_\_ as set forth in §1.17(p).

Please charge any necessary fees to Deposit Account No. 11-0404.

There are no listed references which are not in the English language or do not contain an English language abstract.

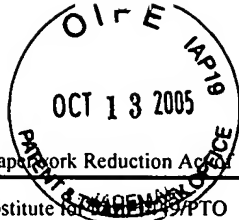
Date: October 10, 2005

Respectfully submitted,

  
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**Enclosures**



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<b>Substitute for PTO/USPTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/645,078
		Filing Date	AUGUST 21, 2003
		First Named Inventor	RICHARD H. SELINFREUND
		Art Unit	2131
Examiner Name	Christian A. LaForgia		
Attorney Docket Number	VTI-7013.1(CIP)		
Sheet	1	of	12

U.S. PATENT DOCUMENTS					
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-4,450,231	05-22-1984	Ozkan	
		US-4,663,518	05-05-1997	Borror, et al.	
		US-5,039,490	08-13-1991	Marsoner et al.	
		US-5,182,669	01-26-1993	Chikuma et al.	
		US-5,468,650	11-21-1995	Skov et al.	
		US-5,546,471	08-13-1996	Merjanian	
		US-5,958,541 A	09-28-1999	Steven Miller et al.	
		US-5,966,205	10-12-1999	Jung et al.	
		US-6,009,071	12-28-1999	Ahn et al.	
		US-6,031,815	02-29-2000	Heemskerck	
		US-6,099,930	08-08-2000	Cyr et al.	
		US-			
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		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		WO 98/08180	02-26-1998	T.T.R. Technologies Ltd.		
		WO 00/19430	04-06-2000	Iomega Corporation		
		WO 98/29238	07-09-1998	Eastman Chemical Company		
		WO 99/23649	05-14-1999	OMD Devices, LLC		

Examiner Signature		Date Considered	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the twoletter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/645,078
				Filing Date	August 21, 2003
				First Named Inventor	RICHARD H. SELINFREUND
				Art Unit	2131
				Examiner Name	Christine A. LaForgia
Sheet	2	of	12	Attorney Docket Number	VTI-7013.1(CIP)

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		BRUNO, A., et al., "All-Solid-State Miniaturized Fluorescence Sensor Array for the Determination of Critical Gases and Electrolytes in Blood," Analytical Chemistry, 69: 507-513, February 1, 1997	
		Amendment under Article 34 of the Patent Cooperation Treaty mailed January 28, 2002.	
		International Search Report for PCT/US01/10256 mailed August 2, 2002.	
		International Preliminary Examination Report for PCT/US01/10256 mailed February 13, 2003.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 (1800-786-9199) and select option 2.*

<b>FORM PTO-1449(Modified)</b>  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO.: VTI-7013.1(CIP)	SERIAL NO.: 10/645,078
	APPLICANT: Richard H. Selinfreund, et al.	
	FILING DATE: August 21, 2003	GROUP: 2131

## U.S. PATENT DOCUMENTS

Exam Init	Ref Des	Document No.	Date	Name-	Class	Sub Class	FILING DATE If Appropriate
		Des. 248,044	05/30/78	Odom, Jr. et al.			
		Des. 414,272	09/21/99	O'Bear et al.			
		1,822,098	09/08/31	Huntress			
		2,265,196	12/41	Riley			
		2,521,124	09/05/50	Miller			
		3,356,462	12/05/67	Cooke et al.			
		3,412,245	11/19/68	Halverson			
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SHEET 4 OF 12

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Include copy of this form with next communication to applicant

<b>FORM PTO-1449/A and B (Modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				APPLICATION NO.: 10/645,078	ATTY. DOCKET NO.: VTI-7013.1 (CIP)
				FILING DATE: August 21, 2003	
				APPLICANT: Selinfreund, et al.	
				GROUP ART UNIT: 2131	EXAMINER: Christine A. LaForgia
Sheet	10	of	12		

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	C1	TARKKA, Richard M., et al., "Holographic storage in a near-ir sensitive photochromic dye," Optics Communications, vol. 109, pp. 54-58, June 15, 1994.	

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